

# Double Dose of Double-Negation Translations

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# Double-Negation Translation: Five **Ws**

## The theory:

- ▶ automatic theorem proving: classical logic
- ▶ other logics existing: need for translations
- ▶ in particular: proof-assistants
- ▶ related to the grounds:
  - ★ cut-elimination for sequent calculus
  - ★ extensions to Deduction Modulo

## The practice:

- ▶ a shallow encoding of classical into intuitionistic logic
- ▶ Zenon modulo's backend for Dedukti



- ▶ existing translations: Kolmogorov's (1925), Gentzen-Gödel's (1933), Kuroda's (1951), Krivine's (1990), ...

# Double-Negation Translation: Five **Ws**

## Objective, **minimization**:

- ▶ turns more formulæ into themselves;
- ▶ shifts a classical proof into an intuitionistic proof of the *same* formula.

## Today:

- ▶ first-order (classical) logic
- ▶ the principle of excluded-middle
- ▶ intuitionistic logic
- ▶ double-negation translations
- ▶ minimization
- ▶ **if** you're still alive:
  - ★ extension to Deduction modulo
  - ★ semantic Double-Negation translations
  - ★ cut elimination

# Theorem Proving

**What** do we prove ?

## [Definition] Formula in Propositional Logic

- ▶ atomic formula:  $P, Q, \dots$
- ▶ special constants:  $\perp, \top$
- ▶ assume  $A, B$  are formulæ:  $A \wedge B, A \vee B, A \Rightarrow B, \neg A$

Example:  $P \Rightarrow Q, P \wedge Q, Q \vee \neg Q, \perp \Rightarrow (\neg \perp), \dots$

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## [Definition] Formula in First-order Logic

- ▶ atomic formula:  $P(t), Q(t, u), \dots$
- ▶ connectives  $\wedge, \vee, \Rightarrow, \neg, \perp, \top$
- ▶ quantifiers  $\forall$  and  $\exists$ . Assume  $A$  is a formula and  $x$  a variable:  $\forall xA, \exists xA$

- ▶ new category: **terms** (denoted  $a, b, c, t, u$ ) and variables ( $x, y$ ).

Example:  $f(x), g(f(c), g(a, c)), \dots$

- ▶ Example:  $(\forall xP(x)) \Rightarrow P(f(a)), \exists y(D(y) \Rightarrow \forall xD(x))$

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A sequent is a set of formulæ  $A_1, \dots, A_n$  (the **assumptions**) denoted  $\Gamma$ , together with a formula  $B$  (the **conclusion**). Notation:  $\Gamma \vdash B$

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- ▶ examples:
  - ★  $A \vdash A$  is a (hopefully provable) sequent
  - ★  $P(a) \vdash \forall xP(x)$  is a (hopefully unprovable) sequent
  - ★  $A, B \vdash A \wedge B, A \vdash, A \vdash \perp$



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  - ★  $A, B \vdash A \wedge B, A \vdash, A \vdash \perp$
- ▶ classical logic needs **multiconclusion** sequent

## [Definition] Classical Sequent

A classical sequent is a pair of sets of formulæ, denoted  $\Gamma \vdash \Delta$

- ★ the sequent  $A, B \vdash C, D$  must be understood as: *Assume A **and** B. Then C **or** D*

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**How** do we prove ?

- ▶ we have the formulæ and the statements (sequents), let's **prove** them
- ▶ many proof systems (even for classical FOL)
- ▶ today: **sequent calculus** (Gentzen (1933))

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**The shape** of rules:

$$\frac{\text{premiss/antecedent} \quad \text{premiss/antecedent}}{\text{conclusion/consequent}}$$

↑ read this way, please

- ▶ in order for the consequent to hold ...
- ▶ ... we must show that the antecedent(s) hold

**Endless process** ?

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The <b>axiom</b> rule	The <b><math>\Rightarrow_R</math></b> rule
$\frac{}{A \vdash A} \text{ ax}$	$\frac{A \vdash B}{\vdash A \Rightarrow B} \Rightarrow_R$

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$$\frac{\frac{}{A \vdash A} \text{ ax}}{\vdash A \Rightarrow A} \Rightarrow_R$$

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The <b>real</b> axiom rule	The <b>real</b> $\Rightarrow_R$ rule
$\frac{}{\Gamma, A \vdash A, \Delta} \text{ ax}$	$\frac{\Gamma, A \vdash B, \Delta}{\Gamma \vdash A \Rightarrow B, \Delta} \Rightarrow_R$

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# The Classical Sequent Calculus (LK)

$$\frac{}{\Gamma, A \vdash A, \Delta} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \wedge B \vdash \Delta} \wedge_L$$

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$$\frac{\Gamma, A[c/x] \vdash \Delta}{\Gamma, \exists x A \vdash \Delta} \exists_L$$

$$\frac{\Gamma \vdash A[t/x], \Delta}{\Gamma \vdash \exists x A, \Delta} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash \Delta}{\Gamma, \forall x A \vdash \Delta} \forall_L$$

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# Basic Examples

- commutativity of the conjunction:

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- ▶ commutativity of the conjunction:

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- ▶ an alternative proof:

$$\frac{A \wedge B \vdash A}{A \wedge B \vdash B \wedge A} \wedge_R$$

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- ▶ commutativity of the conjunction:

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- ▶ an alternative proof:

$$\wedge_L \frac{\text{ax} \frac{\overline{A, B \vdash B}}{\overline{A \wedge B \vdash B}} \quad \text{ax} \frac{\overline{A, B \vdash A}}{\overline{A \wedge B \vdash A}}}{\overline{A \wedge B \vdash B \wedge A}} \wedge_L \wedge_R$$

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- ▶ commutativity of the conjunction:

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- ▶ this is an example of the **liberty** allowed by Sequent Calculus



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- ▶ this is an example of the **liberty** allowed by Sequent Calculus
- ▶ excluded-middle:

$$\frac{\frac{}{A \vdash A} \text{ax}}{\vdash A, \neg A} \neg_R}{\vdash A \vee \neg A} \vee_R$$

## More interesting examples

- uniform continuity implies continuity:

$$\frac{\frac{\frac{\frac{\frac{\frac{P(x, y) \vdash P(x, y)}{ax}}{\exists_R \text{ (with } y)}}{P(x, y) \vdash \exists y P(x, y)}}{\forall_L \text{ (with } x)}}{\forall x P(x, y) \vdash \exists y P(x, y)}}{\forall x P(x, y) \vdash \forall x \exists y P(x, y)} \forall_R \text{ (} x \text{ fresh)}}{\exists y \forall x P(x, y) \vdash \forall x \exists y P(x, y)} \exists_L \text{ (} y \text{ fresh)}$$

- the converse is fortunately **not provable**:

$$\frac{\frac{\frac{\frac{\text{stuck}}{\exists y P(x, y) \vdash \forall x P(x, y)}}{\exists y P(x, y) \vdash \exists y \forall x P(x, y)} \exists_R \text{ (with } y)}}{\forall x \exists y P(x, y) \vdash \exists y \forall x P(x, y)} \forall_L \text{ (with } x)}$$

# The Excluded Middle

## [Theorem] Drinker's Principle

In every bar, there is a person that, if s/he drinks, then everybody drinks.

- paradoxical ? let's prove it:

$$\frac{\frac{\frac{\frac{D(t_0), D(x) \vdash D(x), \forall x D(x)}{\vdash D(t_0) \vdash D(x), D(x) \Rightarrow \forall x D(x)}{\vdash D(t_0) \vdash D(x), \exists y (D(y) \Rightarrow \forall x D(x))} \Rightarrow_R}{\vdash D(t_0) \vdash \forall x D(x), \exists y (D(y) \Rightarrow \forall x D(x))} \exists_R \text{ (with } x \text{ !)}}{\vdash D(t_0) \Rightarrow \forall x D(x), \exists y (D(y) \Rightarrow \forall x D(x))} \forall_R \text{ (} x \text{ fresh)}}{\vdash \exists y (D(y) \Rightarrow \forall x D(x), \exists y (D(y) \Rightarrow \forall x D(x))} \Rightarrow_R}{\vdash \exists y (D(y) \Rightarrow \forall x D(x))} \exists_R \text{ structural rule}$$

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- ▶ basically: **either** someone does not drink **or** everybody drinks.
- ▶ **not informative**:
  - ★ no constructive witness (the “best man”)
  - ★ “Fermat’s theorem is true” or not “Fermat’s theorem is true”

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- basically: **either** someone does not drink **or** everybody drinks.
- not informative:**
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  - ★ “Fermat’s theorem is true” or not “Fermat’s theorem is true”
- PEM ( $A \vee \neg A$  **for free**) rejected by Brouwer, Heyting, Kolmogorov (and all the constructivists).
  - ★ bad also for the “proof-as-program” correspondence (Curry-Howard correspondence) until very recent advances ([control operators](#))

# The Classical Sequent Calculus (LK)

$$\frac{}{\Gamma, A \vdash A, \Delta} \text{ax}$$

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$$\frac{\Gamma \vdash A[c/x], \Delta}{\Gamma \vdash \forall x A, \Delta} \forall_R$$

# The Intuitionistic Sequent Calculus (LJ)

$$\frac{}{\Gamma, A \vdash A} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \wedge B \vdash \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge_R$$

$$\frac{\Gamma, A \vdash \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \vee B \vdash \Delta} \vee_L$$

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} \vee_{R1} \quad \frac{\Gamma \vdash B}{\Gamma \vdash A \vee B} \vee_{R2}$$

$$\frac{\Gamma \vdash A \quad \Gamma, B \vdash \Delta}{\Gamma, A \Rightarrow B \vdash \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \Rightarrow_R$$

$$\frac{\Gamma \vdash A}{\Gamma, \neg A \vdash \Delta} \neg_L$$

$$\frac{\Gamma, A \vdash}{\Gamma \vdash \neg A} \neg_R$$

$$\frac{\Gamma, A[c/x] \vdash \Delta}{\Gamma, \exists x A \vdash \Delta} \exists_L$$

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$$\frac{\Gamma, A[t/x] \vdash \Delta}{\Gamma, \forall x A \vdash \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x]}{\Gamma \vdash \forall x A} \forall_R$$



# Example of Proof

- ▶ commutativity of the disjunction. Attempt #1:

$$A \vee B \vdash B \vee A$$

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$$\frac{\frac{???}{A \vdash B} \quad \frac{}{B \vdash B} \text{ax}}{A \vee B \vdash B} \vee_L}{A \vee B \vdash B \vee A} \vee_{R1}$$

# Example of Proof

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- ▶ compare with proofs in classical logic:

$$\frac{\frac{\text{ax} \frac{}{B \vdash B, A}}{\vdash B, A} \quad \frac{\text{ax} \frac{}{A \vdash B, A}}{\vdash B, A}}{\vdash B \vee A} \vee_R \quad \frac{\frac{\text{ax} \frac{}{A \vdash B, A}}{\vdash B, A} \quad \frac{\text{ax} \frac{}{B \vdash B, A}}{\vdash B, A}}{\vdash A \vee B, A} \vee_L}{\vdash A \vee B \vee A} \vee_L$$

- ▶ in particular, no *intuitionistic* proof of  $\vdash A \vee \neg A$ : does it begins with  $\vee_{R1}$ , or with  $\vee_{R2}$  ?

# Weakening the statements

The excluded-middle ( $A \vee \neg A$ ):

- ▶ is not *universal*: the world is not *Manichean* ! (“with us, or against us”)

## Weakening the statements

The excluded-middle ( $A \vee \neg A$ ):

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Harder: show  $\vdash A \vee \neg A$  in intuitionistic logic + DN principle.

- ▶ from an intuitionistic point of view,  $\neg\neg B$  is **weaker** than  $B$ :

$$\frac{\frac{\frac{\frac{\frac{\frac{}{A \vdash A} \text{ ax}}{A \vdash A \vee \neg A} \vee_{R1}}{\neg(A \vee \neg A), A \vdash} \neg_L}{\neg(A \vee \neg A) \vdash \neg A} \neg_R}{\neg(A \vee \neg A) \vdash A \vee \neg A} \vee_{R2}}{\neg(A \vee \neg A), \neg(A \vee \neg A) \vdash} \neg_L}{\neg(A \vee \neg A) \vdash} \text{ structural rule}}{\vdash \neg\neg(A \vee \neg A)} \neg_R$$

## Double-Negation Translations

This drives us to try to **systematically “weaken”** classical formulæ to turn them into intuitionistically provable formulæ: **Kolmogorov’s Translation**

$$\begin{aligned} P^{Ko} &= \neg\neg P && \text{(atoms)} \\ (B \wedge C)^{Ko} &= \neg\neg(B^{Ko} \wedge C^{Ko}) \\ (B \vee C)^{Ko} &= \neg\neg(B^{Ko} \vee C^{Ko}) \\ (B \Rightarrow C)^{Ko} &= \neg\neg(B^{Ko} \Rightarrow C^{Ko}) \\ (\forall x A)^{Ko} &= \neg\neg(\forall x A^{Ko}) \\ (\exists x A)^{Ko} &= \neg\neg(\exists x A^{Ko}) \end{aligned}$$

### Theorem

$\Gamma \vdash \Delta$  is provable in LK iff  $\Gamma^{Ko}, \lrcorner \Delta^{Ko} \vdash$  is provable in LJ.

### Antinegation

$\lrcorner$  is an operator, such that:

- ▶  $\lrcorner \neg A = A$ ;
- ▶  $\lrcorner B = \neg B$  otherwise.

## How does it work ?

Let us turn a (classical) proof of into a proof of its translation:

$$\begin{array}{ccc} \text{ax} \frac{}{A \vdash A} & \longleftrightarrow & \frac{\frac{\frac{\text{ax}}{\neg A \vdash \neg A}}{\neg\neg A, \neg A \vdash}}{\neg\neg A \vdash \neg\neg A}}{\vdash (\neg\neg A) \Rightarrow (\neg\neg A)} \Rightarrow_R \\ \Rightarrow_R \frac{}{\vdash A \Rightarrow A} & & \frac{\vdash (\neg\neg A) \Rightarrow (\neg\neg A)}{\neg((\neg\neg A) \Rightarrow (\neg\neg A)) \vdash} \neg_L \end{array}$$

Negation is bouncing:

- ▶ systematically: go from **left to right**, apply the **same rule**, and go from **right to left**



## How does it work ?

Let us turn a (classical) proof into a proof of its translation:

$$\begin{array}{ccc}
 \text{ax} \frac{}{A \vdash A} & \longleftrightarrow & \frac{\frac{\text{ax}}{\neg A \vdash \neg A}}{\neg\neg A, \neg A \vdash} \neg L \\
 \Rightarrow_R \frac{}{\vdash A \Rightarrow A} & \longleftrightarrow & \frac{\frac{\neg\neg A \vdash \neg\neg A}{\vdash (\neg\neg A) \Rightarrow (\neg\neg A)} \Rightarrow_R}{\neg((\neg\neg A) \Rightarrow (\neg\neg A)) \vdash} \neg L
 \end{array}$$

Negation is bouncing:

- ▶ systematically: go from **left to right**, apply the **same rule**, and go from **right to left**
- ▶ many double negations are superfluous: in the previous case, almost each of them (not hard to see that  $\vdash A \Rightarrow A$  has an intuitionistic proof)

## How does it work ?

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 \text{ax} \text{ —————} & & \text{ax} \\
 & & \frac{\text{—————}}{\neg A \vdash \neg A} \neg L \\
 A \vdash A & \longleftrightarrow & \frac{\text{—————}}{\neg\neg A, \neg A \vdash} \neg R \\
 & & \frac{\text{—————}}{\neg\neg A \vdash \neg\neg A} \neg R \\
 \Rightarrow_R \text{ —————} & & \frac{\text{—————}}{\vdash (\neg\neg A) \Rightarrow (\neg\neg A)} \Rightarrow_R \\
 \vdash A \Rightarrow A & \longleftrightarrow & \frac{\text{—————}}{\neg((\neg\neg A) \Rightarrow (\neg\neg A)) \vdash} \neg L
 \end{array}$$

Negation is bouncing:

- ▶ systematically: go from **left to right**, apply the **same rule**, and go from **right to left**
- ▶ many double negations are superfluous: in the previous case, almost each of them (not hard to see that  $\vdash A \Rightarrow A$  has an intuitionistic proof)
- ▶ **Congratulations !** This is the topic of this talk

### The Problem

Have the least possible  $\neg\neg$  in the translated formula.

- ▶ what do we gain ? We **preserve the strength** of theorems.

## Remarks on LK and LJ

- ▶ left-rules seem **very** similar in both cases
- ▶ so, lhs formulæ can be translated by themselves
- ▶ this accounts for **polarizing** the translations

### Positive and Negative occurrences

- ▶ An occurrence of  $A$  in  $B$  is positive if:
  - ★  $B = A$
  - ★  $B = C \star D$  [ $\star = \wedge, \vee$ ] and the occurrence of  $A$  is in  $C$  or in  $D$  and positive
  - ★  $B = C \Rightarrow D$  and the occurrence of  $A$  is in  $C$  (resp. in  $D$ ) and negative (resp. positive)
  - ★  $B = Qx C$  [ $Q = \forall, \exists$ ] and the occurrence of  $A$  is in  $C$  and is positive
- ▶ Dually for negative occurrences.

# The Classical Sequent Calculus (LK)

$$\frac{}{\Gamma, A \vdash A, \Delta} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \wedge B \vdash \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma \vdash B, \Delta}{\Gamma \vdash A \wedge B, \Delta} \wedge_R$$

$$\frac{\Gamma, A \vdash \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \vee B \vdash \Delta} \vee_L$$

$$\frac{\Gamma \vdash A, B, \Delta}{\Gamma \vdash A \vee B, \Delta} \vee_R$$

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \Rightarrow B \vdash \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B, \Delta}{\Gamma \vdash A \Rightarrow B, \Delta} \Rightarrow_R$$

$$\frac{\Gamma \vdash A, \Delta}{\Gamma, \neg A \vdash \Delta} \neg_L$$

$$\frac{\Gamma, A \vdash \Delta}{\Gamma \vdash \neg A, \Delta} \neg_R$$

$$\frac{\Gamma, A[c/x] \vdash \Delta}{\Gamma, \exists x A \vdash \Delta} \exists_L$$

$$\frac{\Gamma \vdash A[t/x], \Delta}{\Gamma \vdash \exists x A, \Delta} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash \Delta}{\Gamma, \forall x A \vdash \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x], \Delta}{\Gamma \vdash \forall x A, \Delta} \forall_R$$

# The Intuitionistic Sequent Calculus (LJ)

$$\frac{}{\Gamma, A \vdash A} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \wedge B \vdash \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge_R$$

$$\frac{\Gamma, A \vdash \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \vee B \vdash \Delta} \vee_L$$

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} \vee_{R1} \quad \frac{\Gamma \vdash B}{\Gamma \vdash A \vee B} \vee_{R2}$$

$$\frac{\Gamma \vdash A \quad \Gamma, B \vdash \Delta}{\Gamma, A \Rightarrow B \vdash \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \Rightarrow_R$$

$$\frac{\Gamma \vdash A}{\Gamma, \neg A \vdash \Delta} \neg_L$$

$$\frac{\Gamma, A \vdash}{\Gamma \vdash \neg A} \neg_R$$

$$\frac{\Gamma, A[c/x] \vdash \Delta}{\Gamma, \exists x A \vdash \Delta} \exists_L$$

$$\frac{\Gamma \vdash A[t/x]}{\Gamma \vdash \exists x A} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash \Delta}{\Gamma, \forall x A \vdash \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x]}{\Gamma \vdash \forall x A} \forall_R$$

# Light Kolmogorov's Translation

Moving negation from connectives to formulæ [DowekWerner]:

$$\begin{aligned} B^K &= B && \text{(atoms)} \\ (B \wedge C)^K &= (\neg\neg B^K \wedge \neg\neg C^K) \\ (B \vee C)^K &= (\neg\neg B^K \vee \neg\neg C^K) \\ (B \Rightarrow C)^K &= (\neg\neg B^K \Rightarrow \neg\neg C^K) \\ (\forall x A)^K &= \forall x \neg\neg A^K \\ (\exists x A)^K &= \exists x \neg\neg A^K \end{aligned}$$

## Theorem

$\Gamma \vdash \Delta$  is provable in LK iff  $\Gamma^K, \neg\neg\Delta^K \vdash$  is provable in LJ.

## Correspondence

$$A^{Ko} = \neg\neg A^K$$

# Polarizing Light Kolmogorov's translation

Warming-up. Consider left-hand and right-hand side formulæ:

LHS		RHS
$B^K$	$=$	$B$
$(B \wedge C)^K$	$=$	$(\neg\neg B^K \wedge \neg\neg C^K)$
$(B \vee C)^K$	$=$	$(\neg\neg B^K \vee \neg\neg C^K)$
$(B \Rightarrow C)^K$	$=$	$(\neg\neg B^K \Rightarrow \neg\neg C^K)$
$(\forall x A)^K$	$=$	$\forall x \neg\neg A^K$
$(\exists x A)^K$	$=$	$\exists x \neg\neg A^K$

## Example of translation

$$((A \vee B) \Rightarrow C)^K \text{ is } \neg\neg(\neg\neg A \vee \neg\neg B) \Rightarrow \neg\neg C$$

$$((A \vee B) \Rightarrow C)^K \text{ is } \neg\neg(\neg\neg A \vee \neg\neg B) \Rightarrow \neg\neg C$$

# Polarizing Light Kolmogorov's Translation

Warming-up. Consider left-hand and right-hand side formulæ:

LHS	RHS
$B^{K+} = B$	$B^{K-} = B$
$(B \wedge C)^{K+} = (B^{K+} \wedge C^{K+})$	$(B \wedge C)^{K-} = (\neg\neg B^{K-} \wedge \neg\neg C^{K-})$
$(B \vee C)^{K+} = (B^{K+} \vee C^{K+})$	$(B \vee C)^{K-} = (\neg\neg B^{K-} \vee \neg\neg C^{K-})$
$(B \Rightarrow C)^{K+} = (\neg\neg B^{K-} \Rightarrow C^{K+})$	$(B \Rightarrow C)^{K-} = (B^{K+} \Rightarrow \neg\neg C^{K-})$
$(\forall xA)^{K+} = \forall xA^{K+}$	$(\forall xA)^{K-} = \forall x\neg\neg A^{K-}$
$(\exists xA)^{K+} = \exists xA^{K+}$	$(\exists xA)^{K-} = \exists x\neg\neg A^{K-}$

## Example of translation

$((A \vee B) \Rightarrow C)^{K+}$  is  $\neg\neg(\neg\neg A \vee \neg\neg B) \Rightarrow C$

$((A \vee B) \Rightarrow C)^{K-}$  is  $(A \vee B) \Rightarrow \neg\neg C$



# Results on Polarized Kolmogorov's Translation

## Theorem

If  $\Gamma \vdash \Delta$  is provable in LK, then  $\Gamma^{K+}, \neg\Delta^{K-} \vdash$  is provable in LJ.

**Proof:** by induction. Negation is still bouncing. Example:

$$\wedge_R \frac{\frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta}}{\Gamma \vdash A \wedge B, \Delta}$$

is turned into:

$$\frac{\frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash}}{=} \wedge_R$$

$$\Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash$$

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$$\wedge_R \frac{\frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta}}{\Gamma \vdash A \wedge B, \Delta}$$

is turned into:

$$\neg_L \frac{\frac{\frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash}}{\Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-}} \quad \Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg B^{K-}}{\Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-} \wedge \neg\neg B^{K-}} \wedge_R}{\Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash}$$

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$$\wedge_R \frac{\frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta}}{\Gamma \vdash A \wedge B, \Delta}$$

is turned into:

$$\neg_R \frac{\frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash}}{\Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-} \quad \Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg B^{K-}} \neg_R}{\Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-} \wedge \neg\neg B^{K-}} \wedge_R}{\Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash} \neg_L$$

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$$\begin{array}{c}
 \frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta} \\
 \hline
 \Gamma \vdash A \wedge B, \Delta
 \end{array}
 \quad \text{becomes} \quad
 \begin{array}{c}
 \frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash} \\
 \hline
 \Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-} \wedge \neg\neg B^{K-} \\
 \hline
 \Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash
 \end{array}$$

## Theorem

If  $\Gamma^{K+}, \neg\Delta^{K-} \vdash$  is provable in LJ, then  $\Gamma \vdash \Delta$  is provable in LK.

**Proof:** ad-hoc generalization.

# Gödel-Gentzen Translation

**Disjunctions** and **existential quantifiers** (the only problematic ones) are replaced by their **De Morgan duals**:

LHS	RHS
$B^{gg} = \neg\neg B$	$B^{gg} = \neg\neg B$
$(A \wedge B)^{gg} = A^{gg} \wedge B^{gg}$	$(A \wedge B)^{gg} = A^{gg} \wedge B^{gg}$
$(A \vee B)^{gg} = \neg(\neg A^{gg} \wedge \neg B^{gg})$	$(A \vee B)^{gg} = \neg(\neg A^{gg} \wedge \neg B^{gg})$
$(A \Rightarrow B)^{gg} = A^{gg} \Rightarrow B^{gg}$	$(A \Rightarrow B)^{gg} = A^{gg} \Rightarrow B^{gg}$
$(\forall x A)^{gg} = \forall x A^{gg}$	$(\forall x A)^{gg} = \forall x A^{gg}$
$(\exists x A)^{gg} = \neg \forall x \neg A^{gg}$	$(\exists x A)^{gg} = \neg \forall x \neg A^{gg}$

## Example of translation

$((A \vee B) \Rightarrow C)^{gg}$  is  $(\neg(\neg\neg\neg A \wedge \neg\neg\neg B)) \Rightarrow \neg\neg C$

## Theorem

$\Gamma \vdash \Delta$  is provable in LK iff  $\Gamma^{gg}, \lrcorner \Delta^{gg} \vdash$  is provable in LJ.

# Polarizing Gödel-Gentzen translation

Let us apply the same idea on this translation:

	LHS		RHS
	$B^p = B$		$B^n = \neg\neg B$
	$(B \wedge C)^p = B^p \wedge C^p$		$(B \wedge C)^n = B^n \wedge C^n$
	$(B \vee C)^p = B^p \vee C^p$		$(B \vee C)^n = \neg(\neg B^n \wedge \neg C^n)$
	$(B \Rightarrow C)^p = B^n \Rightarrow C^p$		$(B \Rightarrow C)^n = B^p \Rightarrow C^n$
	$(\forall x B)^p = \forall x B^p$		$(\forall x B)^n = \forall x B^n$
	$(\exists x B)^p = \exists x B^p$		$(\exists x B)^n = \neg\forall x\neg B^n$

## Example of translation

$((A \vee B) \Rightarrow C)^p$  is  $(\neg(\neg\neg\neg A \wedge \neg\neg\neg B)) \Rightarrow C$

$((A \vee B) \Rightarrow C)^n$  is  $((A \vee B) \Rightarrow \neg\neg C$

## Theorem ?

$\Gamma \vdash \Delta$  is provable in LK iff  $\Gamma^{gg}, \lrcorner\Delta^{gg} \vdash$  is provable in LJ.

# A Focus on LK $\rightarrow$ LJ

- ▶ less negations imposes more discipline. Example:

$$\begin{array}{c}
 \frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta} \\
 \hline
 \Gamma \vdash A \wedge B, \Delta
 \end{array}
 \quad \text{becomes} \quad
 \frac{
 \frac{
 \frac{\pi'_1}{\Gamma^p, \lrcorner A^n, \lrcorner \Delta^n \vdash} \dots
 }{\Gamma^p, \lrcorner \Delta^n \vdash A^n}
 \quad
 \frac{
 \frac{\pi'_2}{\Gamma^p, \lrcorner B^n, \lrcorner \Delta^n \vdash} \dots
 }{\Gamma^p, \lrcorner \Delta^n \vdash B^n}
 }{\Gamma^p, \lrcorner \Delta^n \vdash A^n \wedge B^n}
 }{\Gamma^p, \lrcorner (A^n \wedge B^n), \lrcorner \Delta^n \vdash}$$

- ▶ when  $A^n$  introduces negations ( $\exists, \forall, \neg$  and atomic cases)  $??$  can be  $\neg_R$  due to the behavior of  $\lrcorner A^n$
- ▶ otherwise  $A^n$  remains of the rhs in the LJ proof.



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 \hline
 \Gamma \vdash A \wedge B, \Delta \\
 \wedge_R
 \end{array}
 \quad \text{becomes} \quad
 \begin{array}{c}
 \frac{\pi'_1}{\Gamma^p, \lrcorner A^n, \lrcorner \Delta^n \vdash} \quad \frac{\pi'_2}{\Gamma^p, \lrcorner B^n, \lrcorner \Delta^n \vdash} \\
 \hline
 \Gamma^p, \lrcorner \Delta^n \vdash A^n \quad \Gamma^p, \lrcorner \Delta^n \vdash B^n \\
 \hline
 \Gamma^p, \lrcorner \Delta^n \vdash A^n \wedge B^n \\
 \lrcorner_L \\
 \hline
 \Gamma^p, \lrcorner (A^n \wedge B^n), \lrcorner \Delta^n \vdash \\
 \wedge_R
 \end{array}$$

- ▶ when  $A^n$  introduces negations ( $\exists$ ,  $\forall$ ,  $\lrcorner$  and atomic cases)  $??$  can be  $\lrcorner_R$  due to the behavior of  $\lrcorner A^n$
- ▶ otherwise  $A^n$  remains of the rhs in the LJ proof.
- ▶ the next rule in  $\pi_1$  and  $\pi_2$  must be on  $A$  (resp.  $B$ ).

# A Focus on LK $\rightarrow$ LJ

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$$\begin{array}{c}
 \frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta} \\
 \hline
 \wedge_R \frac{}{\Gamma \vdash A \wedge B, \Delta}
 \end{array}
 \quad \text{becomes} \quad
 \frac{
 \frac{\pi'_1}{\Gamma^p, \lrcorner A^n, \lrcorner \Delta^n \vdash} \quad \frac{\pi'_2}{\Gamma^p, \lrcorner B^n, \lrcorner \Delta^n \vdash}
 }{
 \frac{
 \frac{\Gamma^p, \lrcorner \Delta^n \vdash A^n}{\Gamma^p, \lrcorner \Delta^n \vdash B^n}
 }{
 \Gamma^p, \lrcorner \Delta^n \vdash A^n \wedge B^n
 }
 }{
 \lrcorner_L \frac{}{\Gamma^p, \lrcorner (A^n \wedge B^n), \lrcorner \Delta^n \vdash}
 }$$

- ▶ when  $A^n$  introduces negations ( $\exists, \forall, \neg$  and atomic cases)  $??$  can be  $\lrcorner_R$  due to the behavior of  $\lrcorner A^n$
- ▶ otherwise  $A^n$  remains of the rhs in the LJ proof.
- ▶ the next rule in  $\pi_1$  and  $\pi_2$  must be on  $A$  (resp.  $B$ ).
- ▶ the liberty of sequent calculus is a sin! How to constrain it ?
- ▶ use Kleene's inversion lemma
- ▶ or ... this is exactly what focusing is about !

# A Focused Classical Sequent Calculus

## Sequent with focus

A focused sequent  $\Gamma \vdash A; \Delta$  has three parts:

- ▶  $\Gamma$  and  $\Delta$
- ▶  $A$ , the (possibly empty) **stoup formula**

$$\Gamma \vdash \underbrace{\quad \cdot \quad}_{\text{stoup}}; \Delta$$

- ▶ when the stoup is not empty, the next rule must apply on its formula,
- ▶ under some conditions, it is possible to move/remove a formula in/from the stoup.

# A Focused Sequent Calculus

$$\frac{}{\Gamma, A \vdash . ; A, \Delta} \text{ax}$$

$$\frac{\Gamma, A, B \vdash . ; \Delta}{\Gamma, A \wedge B \vdash . ; \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A ; \Delta \quad \Gamma \vdash B ; \Delta}{\Gamma \vdash A \wedge B ; \Delta} \wedge_R$$

$$\frac{\Gamma, A \vdash . ; \Delta \quad \Gamma, B \vdash . ; \Delta}{\Gamma, A \vee B \vdash . ; \Delta} \vee_L$$

$$\frac{\Gamma \vdash . ; A, B, \Delta}{\Gamma \vdash . ; A \vee B, \Delta} \vee_R$$

$$\frac{\Gamma \vdash A ; \Delta \quad \Gamma, B \vdash . ; \Delta}{\Gamma, A \Rightarrow B \vdash . ; \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B ; \Delta}{\Gamma \vdash A \Rightarrow B ; \Delta} \Rightarrow_R$$

$$\frac{\Gamma, A[c/x] \vdash . ; \Delta}{\Gamma, \exists x A \vdash . ; \Delta} \exists_L$$

$$\frac{\Gamma \vdash . ; A[t/x], \Delta}{\Gamma \vdash . ; \exists x A, \Delta} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash . ; \Delta}{\Gamma, \forall x A \vdash . ; \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x] ; \Delta}{\Gamma \vdash \forall x A ; \Delta} \forall_R$$

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash . ; A, \Delta} \text{focus}$$

$$\frac{\Gamma \vdash . ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

# A Focused Sequent Calculus

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash . ; A, \Delta} \text{focus} \quad \frac{\Gamma \vdash . ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

Characteristics:

- ▶ in **release**,  $A$  is either atomic or of the form  $\exists xB, B \vee C$  or  $\neg B$ ;
- ▶ in **focus**, the converse holds:  $A$  must not be atomic, nor of the form  $\exists xB, B \vee C$  nor  $\neg B$ .
- ▶ the *synchronous* (outside the stoup) right-rules are  $\exists_R, \neg_R, \vee_R$  and (atomic) axiom: the exact places where  $\{.\}^n$  introduces negation

## Theorem

If  $\Gamma \vdash \Delta$  is provable in LK then  $\Gamma \vdash . ; \Delta$  is provable.

Proof: use Kleene's inversion lemma (holds for all connectives/quantifiers, except  $\exists_R$  and  $\forall_L$ ).

# Translating Focused Proofs in LJ

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash . ; A, \Delta} \text{focus} \quad \frac{\Gamma \vdash . ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

## Theorem

If  $\Gamma \vdash A ; \Delta$  in focused LK, then  $\Gamma^p, \lrcorner \Delta^n \vdash A^n$  in LJ

- ▶ **release** is translated by the  $\neg_R$  rule
- ▶ **focus** is translated by the  $\neg_L$  rule

# Translating Focused Proofs in LJ

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash . ; A, \Delta} \text{focus} \quad \frac{\Gamma \vdash . ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

## Theorem

If  $\Gamma \vdash A ; \Delta$  in focused LK, then  $\Gamma^p, \lrcorner \Delta^n \vdash A^n$  in LJ

- ▶ **release** is translated by the  $\neg_R$  rule
- ▶ **focus** is translated by the  $\neg_L$  rule
- ▶  $\lrcorner \Delta^n$  removes the trailing negation on  $\exists^n$  ( $\neg \forall \neg$ ),  $\forall^n$  ( $\neg \wedge \neg$ ),  $\neg^n$  ( $\neg$ ) and atoms ( $\neg \neg$ )
- ▶ what a surprise: focus is forbidden on them, so rule on the lhs:

LK rule	$\exists_R$	$\neg_R$	$\forall_R$	ax.
LJ rule	$\forall_L$	nop	$\wedge_L$	$\neg_L$ + ax.

## Going further: Kuroda's translation

Originating from Glivenko's remark for **propositional logic**:

### Theorem [Glivenko]

if  $\vdash A$  in LK, then  $\vdash \neg\neg A$  in LJ.

Kuroda's  $\neg\neg$ -translation:

$$\begin{aligned} B^{Ku} &= B && \text{(atoms)} \\ (B \wedge C)^{Ku} &= B^{Ku} \wedge C^{Ku} \\ (B \vee C)^{Ku} &= B^{Ku} \vee C^{Ku} \\ (B \Rightarrow C)^{Ku} &= B^{Ku} \Rightarrow C^{Ku} \\ (\forall x A)^{Ku} &= \forall x \neg\neg A^{Ku} \\ (\exists x A)^{Ku} &= \exists x A^{Ku} \end{aligned}$$

### Theorem [Kuroda]

$\Gamma \vdash \Delta$  in LK iff  $\Gamma^{Ku}, \neg\neg\Delta^{Ku} \vdash$  in LJ.

- ▶ **restarts** double-negation everytime we pass a universal quantifier.



# Combining Kuroda's and Gentzen-Gödel's translations

- ▶ work of Frédéric Gilbert (2013), who noticed:

1 Kuroda's translation of  $\forall x\forall yA$

$\forall x\neg\neg\forall y\neg\neg A$  can be simplified:  $\forall x\forall y\neg\neg A$

2  $\neg\neg A$  itself can be treated *à la* Gentzen-Gödel

3 and of course with polarization

Reminder:

Gödel-Gentzen	Kuroda
$\varphi(P) = \neg\neg P$	$\psi(P) = P$
$\varphi(A \wedge B) = \varphi(A) \wedge \varphi(B)$	$\psi(A \wedge B) = \psi(A) \wedge \psi(B)$
$\varphi(A \vee B) = \neg\neg(\varphi(A) \vee \varphi(B))$	$\psi(A \vee B) = \psi(A) \vee \psi(B)$
$\varphi(A \Rightarrow B) = \varphi(A) \Rightarrow \varphi(B)$	$\psi(A \Rightarrow B) = \psi(A) \Rightarrow \psi(B)$
$\varphi(\exists xA) = \neg\neg\exists x\varphi(A)$	$\psi(\exists xA) = \exists x\psi(A)$
$\varphi(\forall xA) = \forall x\varphi(A)$	$\psi(\forall xA) = \forall x\neg\neg\psi(A)$

# Combining Kuroda's and Gentzen-Gödel's translations

- ▶ How does it work ?

GG

$$\begin{aligned}\varphi(P) &= \neg\neg P \\ \varphi(A \wedge B) &= \varphi(A) \wedge \varphi(B) \\ \varphi(A \vee B) &= \neg\neg(\varphi(A) \vee \varphi(B)) \\ \varphi(A \Rightarrow B) &= \varphi(A) \Rightarrow \varphi(B) \\ \varphi(\exists xA) &= \neg\neg\exists x\varphi(A) \\ \varphi(\forall xA) &= \forall x\varphi(A)\end{aligned}$$

Kuroda

$$\begin{aligned}\psi(P) &= P \\ \psi(A \wedge B) &= \psi(A) \wedge \psi(B) \\ \psi(A \vee B) &= \psi(A) \vee \psi(B) \\ \psi(A \Rightarrow B) &= \psi(A) \Rightarrow \psi(B) \\ \psi(\exists xA) &= \exists x\psi(A) \\ \psi(\forall xA) &= \forall x\neg\neg\psi(A)\end{aligned}$$

# Combining Kuroda's and Gentzen-Gödel's translations

- ▶ How does it work ?

<i>RHS</i>	<i>LHS</i>	<i>Kuroda</i>
$\varphi(P) = \neg\neg P$	$\chi(P) = P$	$\psi(P) = P$
$\varphi(A \wedge B) = \varphi(A) \wedge \varphi(B)$	$\chi(A \wedge B) = \chi(A) \wedge \chi(B)$	$\psi(A \wedge B) = \psi(A) \wedge \psi(B)$
$\varphi(A \vee B) = \neg\neg\psi(A) \vee \psi(B)$	$\chi(A \vee B) = \chi(A) \vee \chi(B)$	$\psi(A \vee B) = \psi(A) \vee \psi(B)$
$\varphi(A \Rightarrow B) = \chi(A) \Rightarrow \varphi(B)$	$\chi(A \Rightarrow B) = \psi(A) \Rightarrow \chi(B)$	$\psi(A \Rightarrow B) = \chi(A) \Rightarrow \psi(B)$
$\varphi(\exists xA) = \neg\neg\exists x\psi(A)$	$\chi(\exists xA) = \exists x\chi(A)$	$\psi(\exists xA) = \exists x\psi(A)$
$\varphi(\forall xA) = \forall x\varphi(A)$	$\chi(\forall xA) = \forall x\chi(A)$	$\psi(\forall xA) = \forall x\varphi(A)$

- ▶ How to prove that ? Refine focusing into **phases**.

## Example of translation

$\chi((A \vee B) \Rightarrow C)$  is  $(A \vee B) \Rightarrow C$

$\varphi((A \vee B) \Rightarrow C)$  is  $(A \vee B) \Rightarrow \neg\neg C$

$$\frac{}{\Gamma, A \vdash \cdot ; A, \Delta} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \cdot ; \Delta}{\Gamma, A \wedge B \vdash \cdot ; \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A ; \Delta \quad \Gamma \vdash B ; \Delta}{\Gamma \vdash A \wedge B ; \Delta} \wedge_R$$

$$\frac{\Gamma, A \vdash \cdot ; \Delta \quad \Gamma, B \vdash \cdot ; \Delta}{\Gamma, A \vee B \vdash \cdot ; \Delta} \vee_L$$

$$\frac{\Gamma \vdash \cdot ; A, B, \Delta}{\Gamma \vdash \cdot ; A \vee B, \Delta} \vee_R$$

$$\frac{\Gamma \vdash A ; \Delta \quad \Gamma, B \vdash \cdot ; \Delta}{\Gamma, A \Rightarrow B \vdash \cdot ; \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B ; \Delta}{\Gamma \vdash A \Rightarrow B ; \Delta} \Rightarrow_R$$

$$\frac{\Gamma, A[c/x] \vdash \cdot ; \Delta}{\Gamma, \exists x A \vdash \cdot ; \Delta} \exists_L$$

$$\frac{\Gamma \vdash \cdot ; A[t/x], \Delta}{\Gamma \vdash \cdot ; \exists x A, \Delta} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash \cdot ; \Delta}{\Gamma, \forall x A \vdash \cdot ; \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x] ; \Delta}{\Gamma \vdash \forall x A ; \Delta} \forall_R$$

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash \cdot ; A, \Delta} \text{focus}$$

$$\frac{\Gamma \vdash \cdot ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

# Results

## Theorem [Gilbert]

if  $\Gamma_0, \neg\Gamma_1 \vdash A; \Delta$  in  $LK_{\uparrow\downarrow}$  then  $\chi(\Gamma_0), \neg\psi(\Gamma_1), \neg\psi(\Delta) \vdash \varphi(A)$  in LJ.

## Theorem [Gilbert]

$A \mapsto \varphi(A)$  is minimal among the  $\neg\neg$ -translations.

- ▶ 58% of Zenon's modulo proofs are secretly constructive
- ▶ polarizing the translation of rewrite rules in Deduction modulo:
  - ★ problem with cut elimination: a rule is usable in the lhs and rhs
  - ★ back to a non-polarized one
  - ★ further work: use **polarized** Deduction modulo
- ▶ further work: polarize Krivine's translation

What you hopefully should remember:

- ▶ Focusing is a perfect tool to remove double-negations;
- ▶ antinegation  $\lrcorner$ .